

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 12-2004)

ATTORNEY'S DOCKET NUMBER

21438

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/525229INTERNATIONAL APPLICATION NO.
PCT/EP03/08024INTERNATIONAL FILING DATE
July 23, 2003PRIORITY DATE CLAIMED
August 21, 2002

TITLE OF INVENTION

**METHOD FOR PROTECTING AT LEAST ONE MOTOR VEHICLE COMPONENT AGAINST MANIPULATION IN A
CONTROL DEVICE, AND CONTROL DEVICE**

APPLICANT(S) FOR DO/EO/US

Oliver Feilen and Rudiger Stadtmuller

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.
23. ☐ Other items or information:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/525229		INTERNATIONAL APPLICATION NO. PCT/EP03/08204		ATTORNEY'S DOCKET NUMBER 21438																													
24. The following fees are submitted: <input checked="" type="checkbox"/> a) Basic national fee \$300.00 <input checked="" type="checkbox"/> b) Examination fee \$200.00 <input checked="" type="checkbox"/> c) Search fee \$500.00 TOTAL OF ABOVE CALCULATIONS = \$1000.00 <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">Total Sheets</th> <th style="width: 15%;">Extra Sheets</th> <th style="width: 40%;">Number of each additional 50 or fraction thereof (round up to a whole)</th> <th style="width: 10%;">RATE</th> <th style="width: 10%;"></th> <th style="width: 10%;"></th> </tr> <tr> <td>- 100 =</td> <td>/50 =</td> <td></td> <td>x \$250.00</td> <td>\$</td> <td>\$0.00</td> </tr> </table> Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 15%;">NUMBER FILED</th> <th style="width: 10%;">NUMBER EXTRA</th> <th style="width: 10%;">RATE</th> <th style="width: 10%;"></th> <th style="width: 10%;"></th> </tr> <tr> <td>Total claims</td> <td>11 - 20 =</td> <td>0</td> <td>x \$50.00</td> <td>\$</td> <td>\$0.00</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>x \$200.00</td> <td>\$</td> <td>\$0.00</td> </tr> </table> MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00 TOTAL OF ABOVE CALCULATIONS = \$1,000.00 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. SUBTOTAL = \$1,000.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$1,000.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + TOTAL FEES ENCLOSED = \$1,000.00 Amount to be refunded: \$ Amount to be charged: \$				Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE			- 100 =	/50 =		x \$250.00	\$	\$0.00	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	11 - 20 =	0	x \$50.00	\$	\$0.00	Independent claims	1 - 3 =	0	x \$200.00	\$	\$0.00
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a. <input checked="" type="checkbox"/> A check in the amount of \$ \$1,000.00 to cover the above fees is enclosed.																																	
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is																																	
c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-4375 . A duplicate copy of this sheet is enclosed.																																	
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																	
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.																																	
SEND ALL CORRESPONDENCE TO: Peter N. Lalos Stevens, Davis, Miller & Mosher, LLP 1615 L Street, NW, Suite 850 Washington, DC 20036-5622																																	
				SIGNATURE Peter N. Lalos																													
				NAME 19,789																													
				REGISTRATION NUMBER																													

1 PER
TRANSLATION

10/525229

DT01 Rec'd PCT/PTC 22 FEB 2005

CERTIFICATION

In the matter of

PCT Application No. : PCT/EP 03/08024

International Publication No. : WO 2004/027587 A2

Applicant: AUDI AG , D-85045 Ingolstadt

(without any changes)

I, the undersigned

Lawrence B. Hanlon

of the

International Translation Center, Inc

do hereby certify that:

1. I am well acquainted with the German and English languages; and
2. to the best of my knowledge and belief, the accompanying document is a true translation of the German application mentioned above.

Dated this:

11th day of February 2005

Signed:

Lawrence B. Hanlon

BEST AVAILABLE COPY